

MSE 468 Week 2

It's a quantum world!

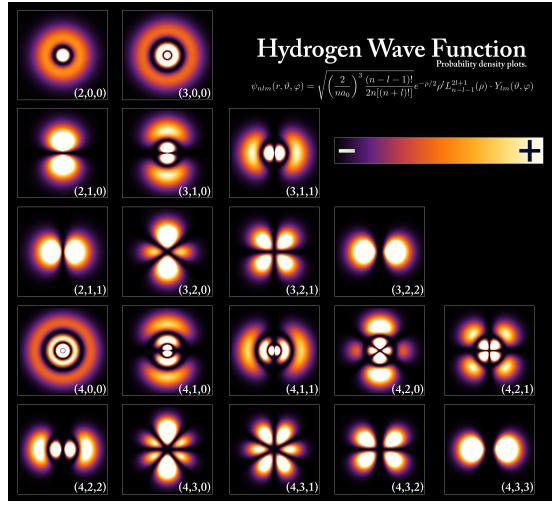


Image from Wikipedia

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Usage of the VM (and new form this week)

- Did everybody manage to run in the VM?
- Second form for this week (by next Thursday 6th March) - last form hopefully!
 - Test access to **VPN** (in case you need to work from outside the campus)
 - Test running on the **Helvetios supercomputer** at EPFL (useful for lab 2/3/4)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

AROSA, CANTON DES GRISONS, 27 DÉCEMBRE 1925



At the moment I am struggling with a new atomic theory. I am very optimistic about this thing and expect that if I can only... solve it, it will be very beautiful.

Erwin Schrödinger

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

MOVE TO 1929...



the underlying physical laws necessary for the mathematical theory of a large part of physics and the whole of chemistry are thus completely known, and the difficulty is only that the exact application of these laws leads to equations much too complicated to be soluble.

it therefore becomes desirable that approximate practical methods of applying quantum mechanics should be developed, which can lead to an explanation of the main features of complex atomic systems without too much computation.

P.A.M. DIRAC, PROC. ROY. SOC. 123, 714 (1929)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

MOVE TO 1929...



the underlying physical laws necessary for the mathematical theory of a large part of physics and the whole of chemistry are thus completely known, and the difficulty is only that the exact application of these laws leads to equations much too complicated to be soluble.

it therefore becomes desirable that approximate practical methods of applying quantum mechanics should be developed, which can lead to an explanation of the main features of complex atomic systems without too much computation.

P.A.M. DIRAC, PROC. ROY. SOC. 123, 714 (1929)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

...AND 1963



It is more important to have beauty in one's equations than to have them fit experiment... [...]

if there is no complete agreement between the results of one's work and the experiment, one should not allow oneself to be too discouraged, because the discrepancy may well be due to minor features that are not properly taken into account and that will get cleared up with further development of the theory.

P.A.M. DIRAC, SCIENTIFIC AMERICAN 1963

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

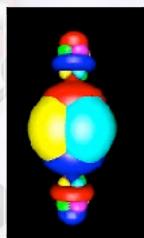
Inhomogeneous Electron Gas
P. Hohenberg and W. Kohn
Phys. Rev. **136**, B864 (9 November 1964)

Self-Consistent Equations Including Exchange and Correlation Effects
W. Kohn and L. J. Sham
Phys. Rev. **140**, A1133 (15 November 1965)

Nobel Focus: Chemistry by Computer

21 October 1998

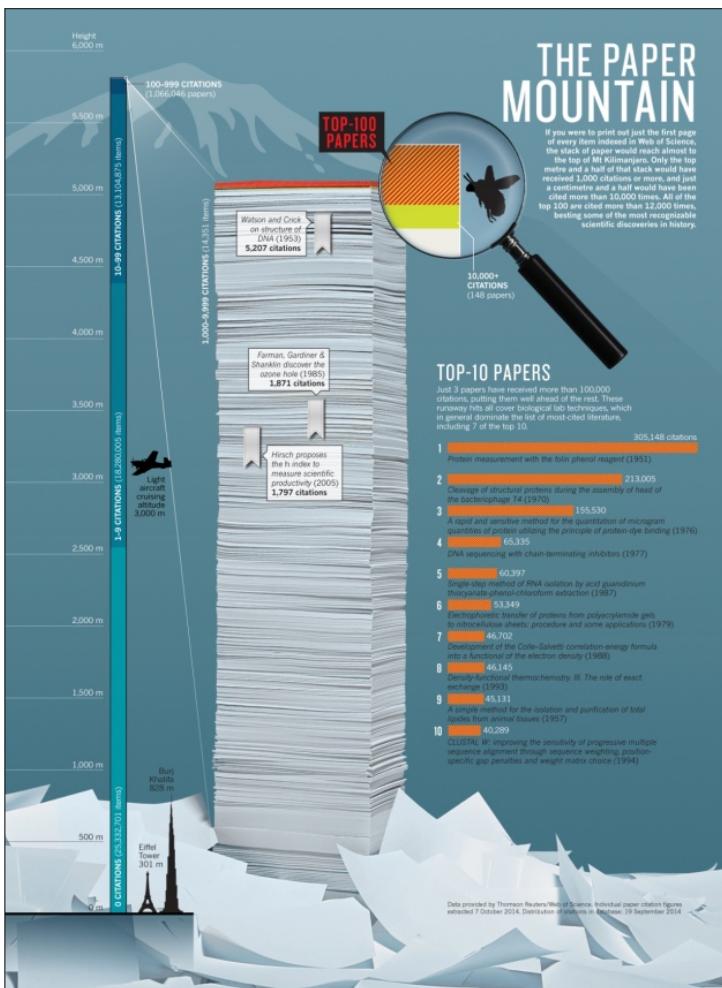
The 1998 Nobel Prize in chemistry recognizes two researchers whose work has allowed chemists to calculate the properties of molecules and solids on computers, without performing experiments in the lab. The basic principles of the calculation scheme were first described in *Physical Review* in the 1960s, and solid state physicists used them for decades before they became important in the chemistry world. The scheme drastically simplifies the solution of the quantum mechanical equations for a system of many electrons, and although approximate, the solutions are accurate enough that chemists can learn about large molecules without getting their hands wet.



Calculations made easy. Localized orbitals in the electronic structure of the BaTiO_3 crystal, calculated using density functional theory, which was invented by 1998 Nobel Laureate Walter Kohn.

Nicola Marzari and David Vanderbilt/Rutgers University

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL



NATURE, OCT 2014

THE TOP 100 PAPERS:
12 papers on density-functional theory in the top-100 most cited papers in the entire scientific literature, ever.

The challenges

- Accuracy
- Size
- Time

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

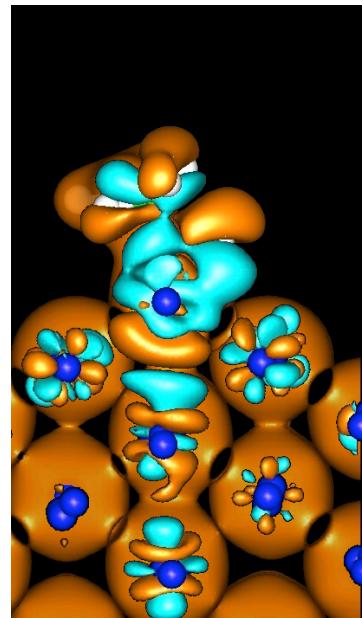
Why do we need quantum mechanics?

- Potential models: **limited transferability** (not universal)
- They do not describe bond breaking
- **Explicit treatment of electrons needed** for electronic, optical, magnetic properties

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

(Quantum) electrons hold matter together

- Atoms are made by massive, point-like nuclei (protons+neutrons)
- Surrounded by tightly bound, rigid shells of core electrons
- Bound together by a glue of valence electrons

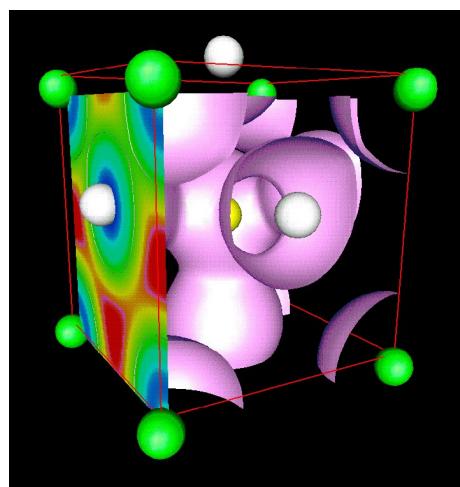
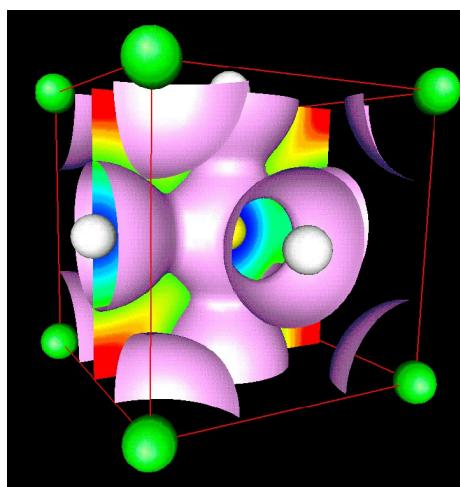


Simulation of methane on a Pt surface

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Why do we need quantum mechanics?

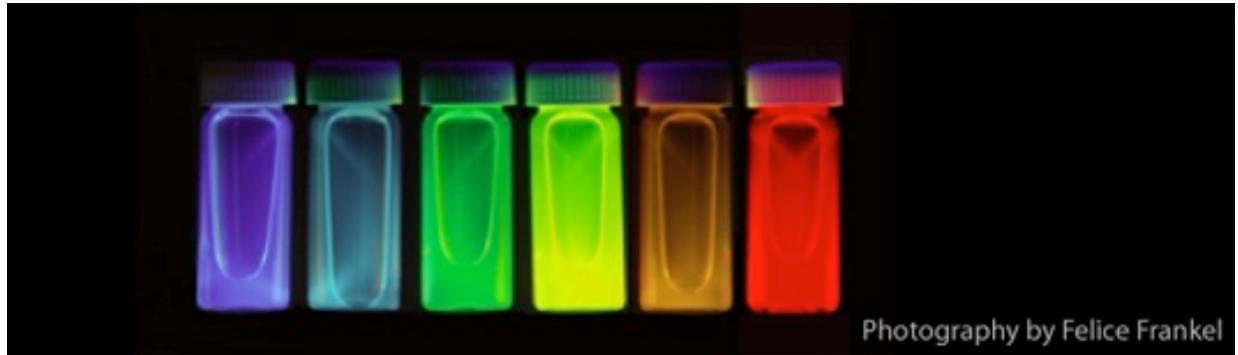
1) Bonding and Structure



Paraelectric (cubic) and ferroelectric (tetragonal) phases of PbTiO_3

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Why do we need quantum mechanics? 2) Electronic, optical, magnetic properties



Particle size tunes the emission wavelength of CdSe quantum dots

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Why do we need quantum mechanics? 3) Dynamics, chemistry

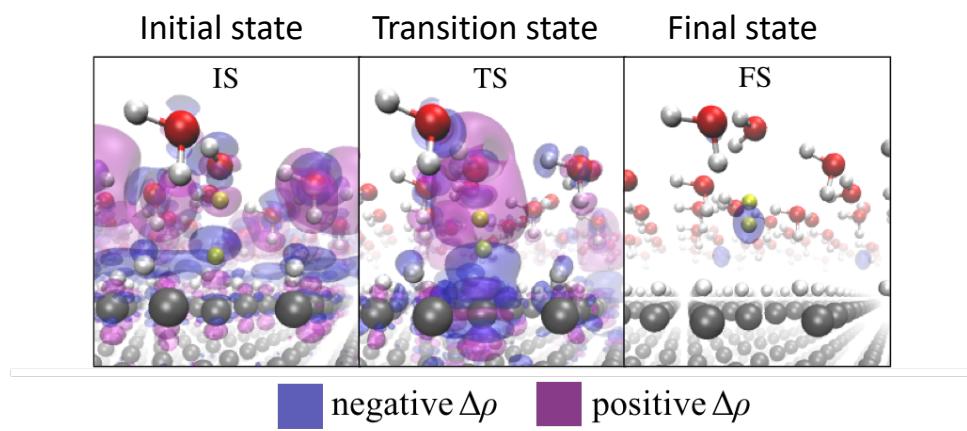
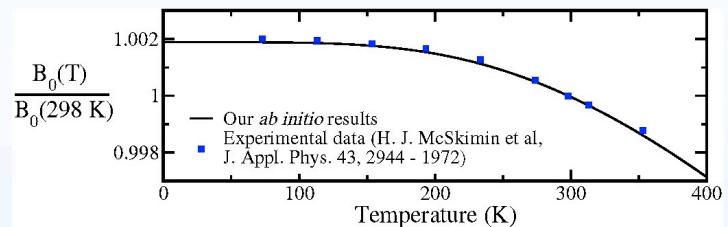
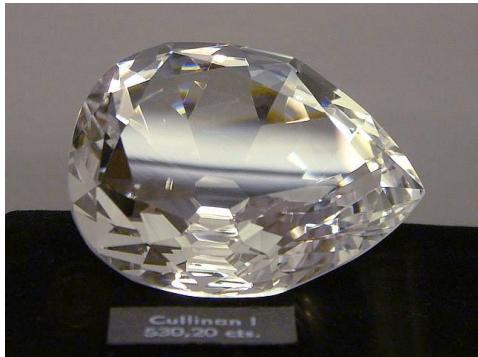
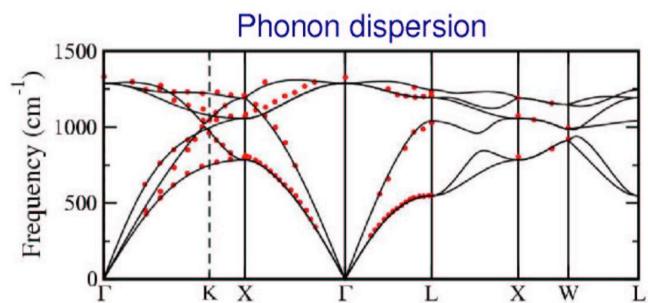


Figure from <https://suncat.stanford.edu/>
Charge density difference isosurfaces for the Heyrovsky reaction.
(See also Chen, Nørskov, J.Phys.Chem.Lett. 7, 1686–1690 (2016))

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Example: Diamond from First Principles

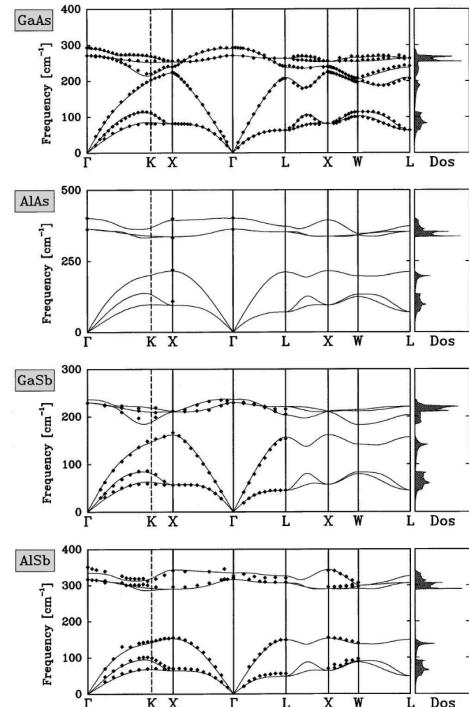
	GGA	Exp. (300 K)
a_0 (a.u.)	6.743 (0 K) 6.769 (300 K)	6.740
B (GPa)	432 (0 K) 422 (300 K)	442



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Some more examples

Phonons of semiconductors
computed by DFT,
compared with experiments



From Baroni et al., Rev. Mod. Phys. 73, 515 (2001)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

The total energy bias

“If the only tool if you have is a hammer,
every problem starts looking like a nail”

Ab-initio spectroscopies and microscopies

- Vibrations and phonons
- Infrared
- Raman
- Thermal conductance
- Superconductivity
- Nuclear magnetic resonance
- Core level shifts
- Scanning tunnelling microscopy
- ...

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

What can first-principles do for me ?

- **Fairly straightforward, but fundamental:** equilibrium structures, thermodynamic stability, thermomechanic properties, electronic structure, energetics and reactions...
- **Harder:** vibrational and magnetic spectroscopies (IR, Raman, NMR, EPR), XPS/XANES, BCS superconductivity, basic optical properties (TDDFT), phase diagrams
- **Jedi master:** thermal and electrical conductivities, complex optical properties (GW+BSE).

Predictive accuracy is a key challenge

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Material Properties From First-Principles

- Energy scale at our living conditions ($k_B T$, for $T=300$ K): **0.025 eV** (kinetic energy of an atom in an ideal gas: $3/2 k_B T$).
- Differences in bonding energies are within one order of magnitude of **~0.3 eV** (hydrogen bond).
- Binding energy of an electron to a proton (hydrogen):
13.606 eV = 1 Rydberg (Ry) = 0.5 Hartree (Ha) = 0.5 a.u.
- Energy of 1s electrons in a Pt atom ($Z=78$): **~80,000 eV (energy $\propto Z^2$)**
(https://xdb.lbl.gov/Section1/Table_1-1.pdf)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Wave-particle Duality

- ***Waves have particle-like properties:***
 - Photoelectric effect: quanta (photons) are exchanged discretely
 - Energy spectrum of an incandescent body looks like a gas of very hot particles
- ***Particles have wave-like properties:***
 - Electrons in an atom are like standing waves (harmonics) in an organ pipe
 - Electrons beams can be diffracted, and we can see the fringes

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

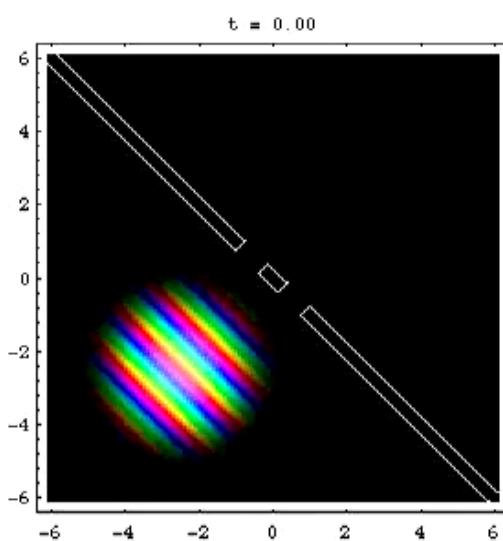
Wave-particle Duality

- Position and momentum of a particle cannot be simultaneously measured with arbitrarily high precision
- Minimum for the product of the uncertainties:

$$\Delta x \Delta p \geq \frac{\hbar}{2} \quad \hbar \approx 1.05 \cdot 10^{-34} Js$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

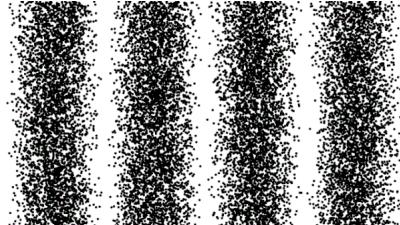
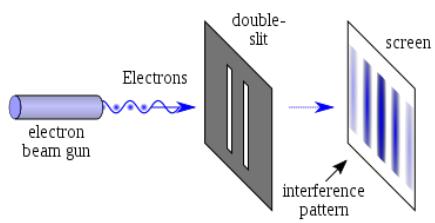
When is a particle like a wave ?



From <https://vqm.uni-graz.at>

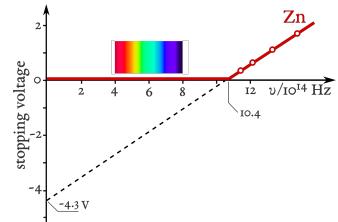
MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

When is a particle like a wave ?



- Einstein (1905): light is emitted and absorbed discretely (photons; photoelectric effect)
- De Broglie (1924): all matter is a wave
The smaller the dimension, the more wave-like the behaviour

$$\lambda = \frac{h}{p}$$



Images from Wikipedia

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Typical length scales

$$E = pc$$

Massless particles
(photons)

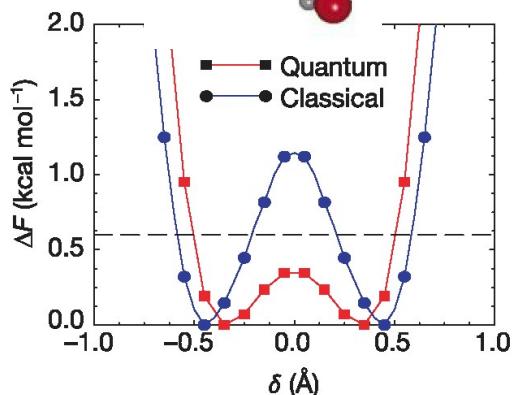
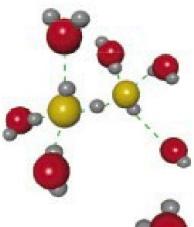
$$E = \frac{1}{2}mv^2 = \frac{p^2}{2m} \Rightarrow p = \sqrt{2mE}$$

Massive *non-relativistic* particles

- Atomic diameter?
 - 0.1 nm (1 angstrom)
- Electron accelerated through 100V: 0.12 nm
 - *See: electron microscope*
- Nitrogen molecule at 300K: 0.03 nm
- Baseball at 150 km/h: 10⁻²⁵ nm
 - **Wavelength of heavy objects not relevant for bonding**

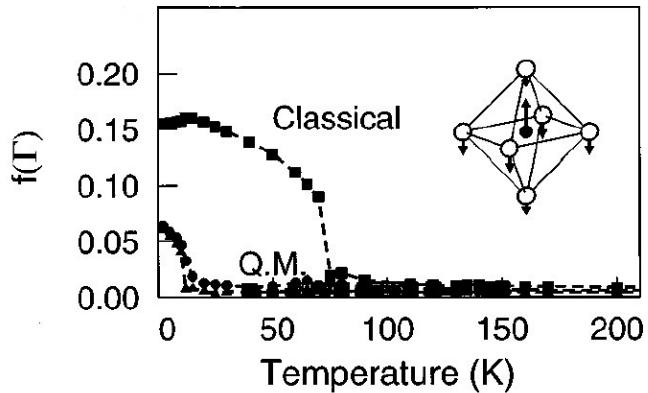
MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

There can be quantum effects in the nuclear motion

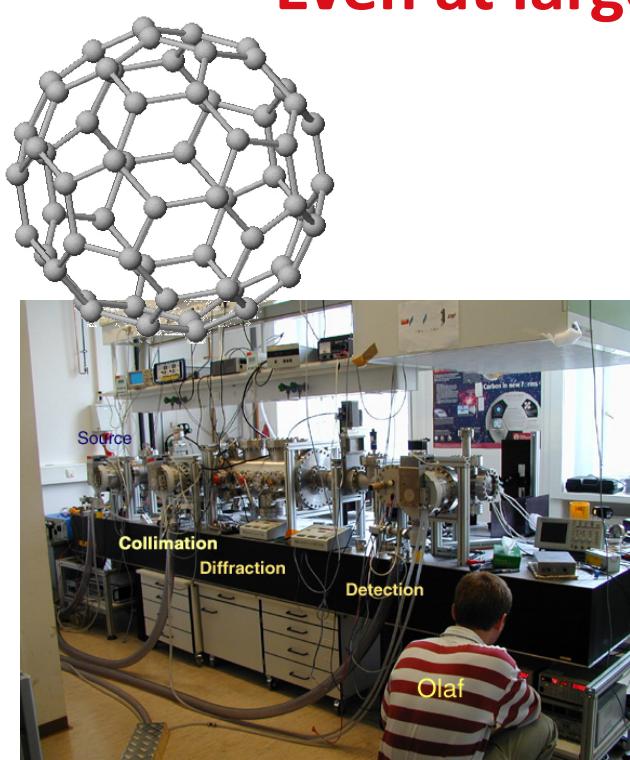


Hydrated hydroxide diffusion (Tuckerman, Marx, and Parrinello)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL



Quantum paraelectricity in SrTiO_3 (Vanderbilt)



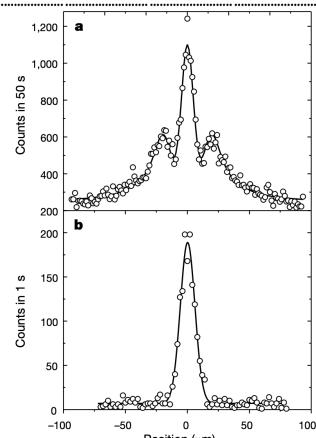
Even at large scales!

letters to nature

Wave–particle duality of C_{60} molecules

Markus Arndt, Olaf Nairz, Julian Vos-Andreae, Claudia Keller, Gerbrand van der Zouw & Anton Zeilinger

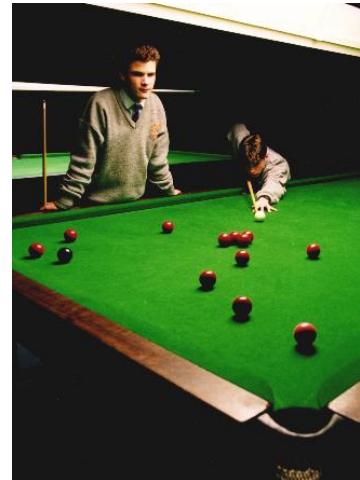
Institut für Experimentalphysik, Universität Wien, Boltzmanngasse 5, A-1090 Wien, Austria



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

So, What Is It?

It's the mechanics of **waves**, instead of **classical particles**



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Mechanics of a Particle

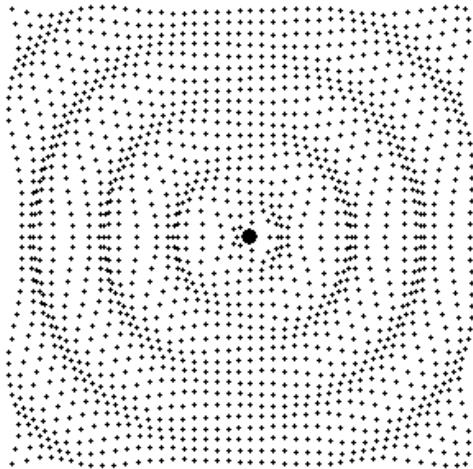
$$m \frac{d^2 \vec{r}}{dt^2} = \vec{F}(\vec{r}) = -\vec{\nabla} V(\vec{r}) \quad \longrightarrow \quad \begin{matrix} \vec{r}(t) \\ \vec{v}(t) \end{matrix}$$

The sum of the kinetic and potential energy is conserved



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Description of a Wave



The wave is an excitation (a vibration): we need to know the amplitude of the excitation at every point and at every instant

$$\psi = \psi(\vec{r}, t)$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Stationary Schrödinger's equation (Newton's 2nd law for quantum objects)

$$\left[-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \psi(\vec{r}) = E\psi(\vec{r})$$

1925-onwards: E. Schrödinger (wave equation), W. Heisenberg (matrix formulation), P.A.M. Dirac (relativistic)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Interpretation of the Quantum Wavefunction (Copenhagen)

$\|\psi_i(\vec{r})\|^2$ is the probability of finding an electron in r , when its wavefunction is ψ_i

$$\int \psi_i^*(\vec{r}) \left[-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \psi_i(\vec{r}) d\vec{r} = E_i$$

is the value of the energy for the electron, when its wavefunction is ψ_i

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

From classical mechanics to operators

- classical momentum $\vec{p} \rightarrow$
 \rightarrow gradient operator $-i\hbar\vec{\nabla}$
- classical position $\vec{r} \rightarrow$
 \rightarrow multiplicative operator $\hat{\vec{r}}$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Orthogonality, Expectation Values, and Dirac's $\langle \text{bra} | \text{kets} \rangle$

Ket $\psi = \psi(\vec{r}) = |\psi\rangle$

Orthonormal wavefunctions $\int \psi_i^*(\vec{r})\psi_j(\vec{r})d\vec{r} = \langle \psi_i | \psi_j \rangle = \delta_{ij}$

Expectation value of an operator (here: Hamiltonian H)

$$\int \psi_i^*(\vec{r}) \left[-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \psi_i(\vec{r}) d\vec{r} = \langle \psi_i | \hat{H} | \psi_i \rangle = E_i$$

$p \rightarrow -i\hbar\vec{\nabla} \Rightarrow \frac{p^2}{2m} \rightarrow -\frac{\hbar^2}{2m} \nabla^2$

For Hermitian operators $\hat{O} = \hat{O}^\dagger$: expectation values are real numbers

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Eigenvectors with different eigenvalues are orthogonal

$$H |\psi_1\rangle = E_1 |\psi_1\rangle$$

$$H |\psi_2\rangle = E_2 |\psi_2\rangle$$



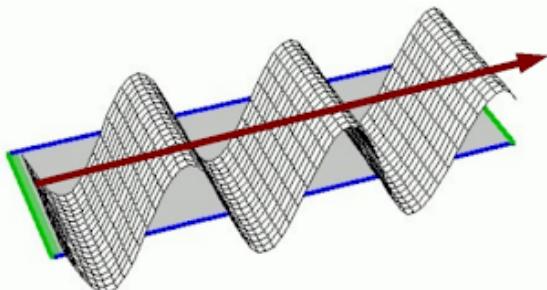
$$(E_2 - E_1) \langle \psi_2 | \psi_1 \rangle = 0$$

If instead they have the same eigenvalue, they are *not required* to be orthogonal, but can always *chosen to be orthogonal*

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Free electron $\psi(x)$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi(x) = E \psi(x)$$



A plane wave

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

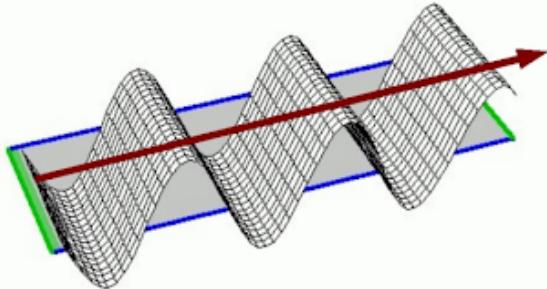
Free electron $\psi(x)$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi(x) = E \psi(x)$$

$$\psi_k(x) = A e^{i k x}$$

$$p \rightarrow -i\hbar \frac{\partial}{\partial x} \Rightarrow \frac{\langle \psi_k | p | \psi_k \rangle}{\langle \psi_k | \psi_k \rangle} = \hbar k$$

$$E = \frac{\langle \psi_k | H | \psi_k \rangle}{\langle \psi_k | \psi_k \rangle} = \frac{\hbar^2 k^2}{2m}$$



A plane wave

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

In more than 1D (in 3D)

$$\vec{\nabla} f = \left(\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z} \right)$$

$$\nabla^2 f = \vec{\nabla} \cdot (\vec{\nabla} f) = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} + \frac{\partial^2 f}{\partial z^2}$$

For a free electron, $V=0$, and we can separate variables writing

$$\psi(x, y, z) = \phi_x(x)\phi_y(y)\phi_z(z)$$

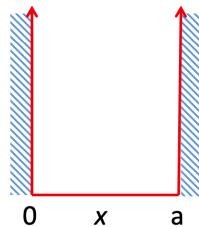
$$\phi_x(x) = A_x e^{ik_x x}, \dots \Rightarrow \psi_{\vec{k}}(\vec{r}) = A' e^{i\vec{k} \cdot \vec{r}}$$

$$\frac{\langle \psi_{\vec{k}} | \vec{p} | \psi_{\vec{k}} \rangle}{\langle \psi_{\vec{k}} | \psi_{\vec{k}} \rangle} = \hbar \vec{k} \quad E = \frac{\langle \psi_{\vec{k}} | H | \psi_{\vec{k}} \rangle}{\langle \psi_{\vec{k}} | \psi_{\vec{k}} \rangle} = \frac{\hbar^2 |\vec{k}|^2}{2m}$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

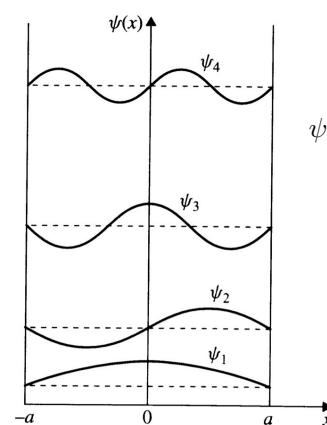
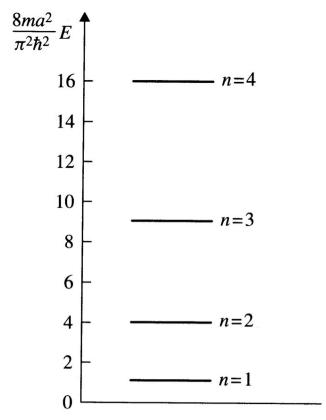
Infinite Square Well

Find plane-wave solutions subject to boundary conditions



$$\psi(0) = \psi(a) = 0$$

$$\hat{H} = \frac{-\hbar^2}{2m} \frac{d^2}{dx^2}$$

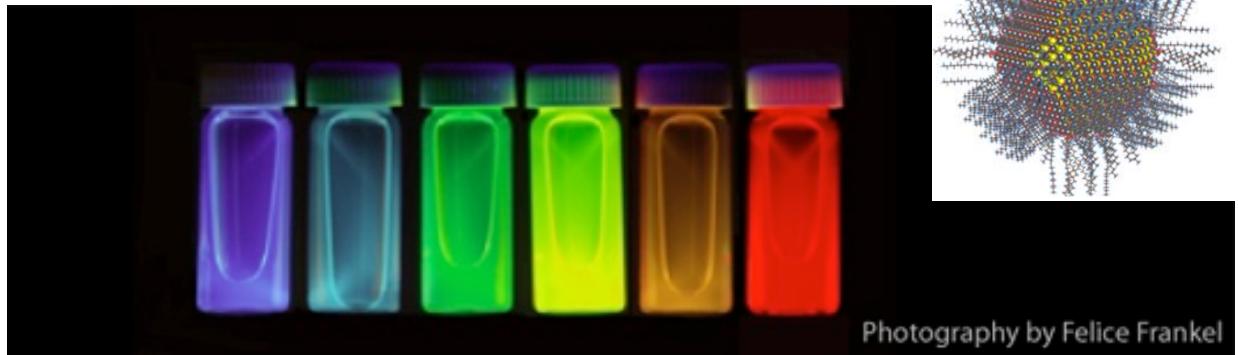


$$\psi_n(x) = A' \sin\left(\frac{n\pi x}{a}\right)$$

$$E_n = \frac{\hbar^2 \pi^2}{2ma^2} n^2$$

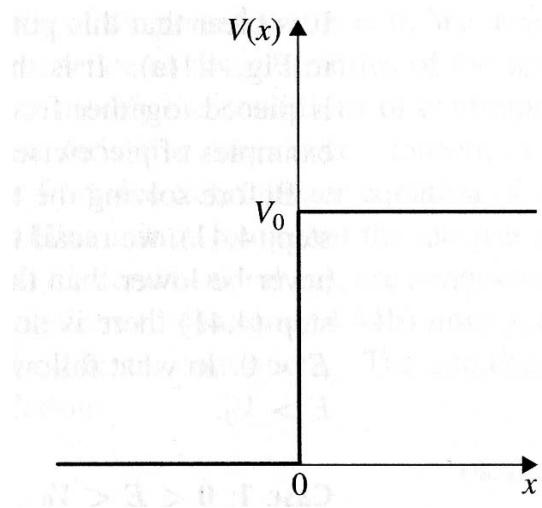
MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Quantum confinement in quantum dots



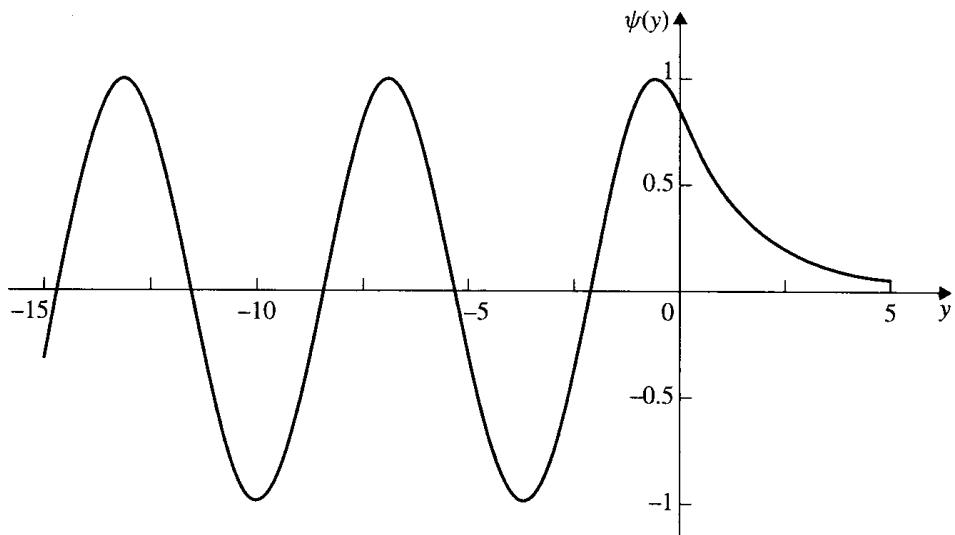
MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Metal Surfaces (I)



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Metal Surfaces (II)



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Metal Surfaces (III)

www.quantum-physics.polytechnique.fr

From <http://www.quantum-physics.polytechnique.fr>

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Quantum tunneling

- Energy of the tunnelled particle is the same
- Probability amplitude is decreased

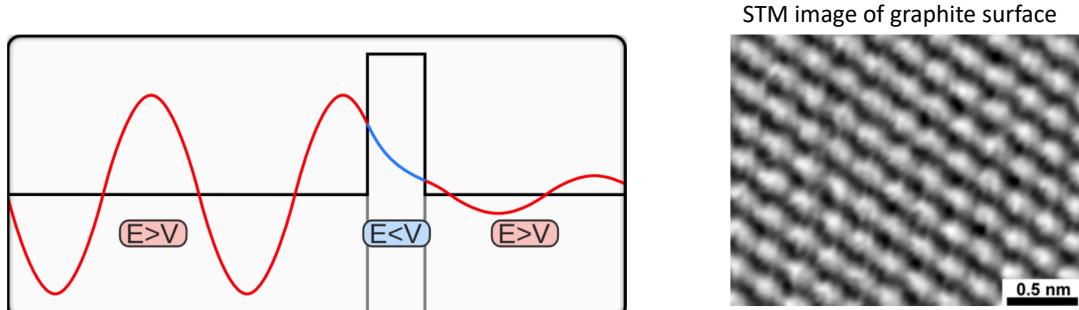
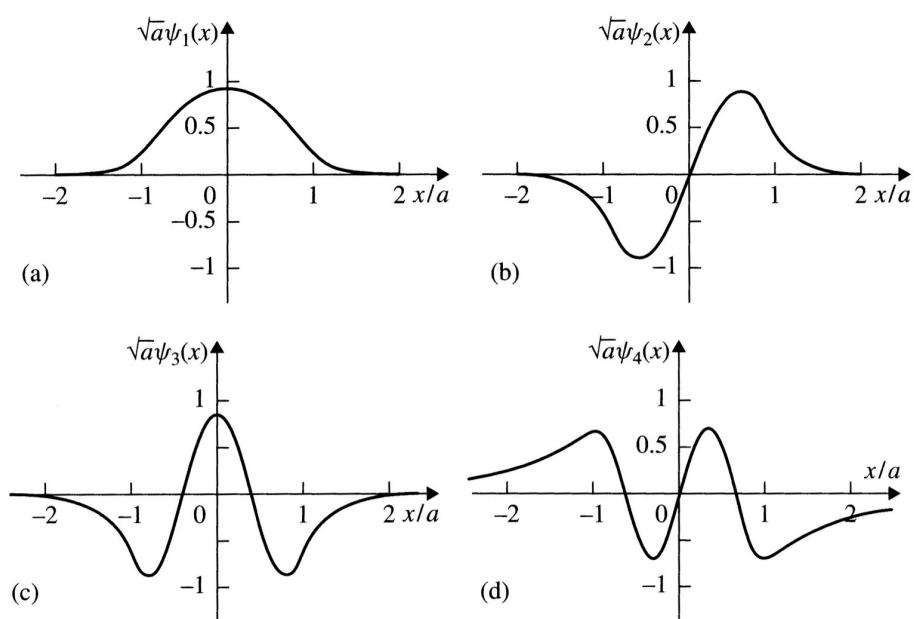


Figure from Wikipedia

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Finite Square Well



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Quantum Applets

<http://www.quantum-physics.polytechnique.fr>

- Used to be in Java, now just some videos left (and some new Javascript animations)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Quantum Applets

OSSCAR

<http://www.osscar.org>

Open Software Services for Classrooms and Research – An Open Science Educational Hub

HOME

THE PROJECT

TOOLS

COURSES

TEAM

ACKNOWLEDGEMENTS

GITHUB



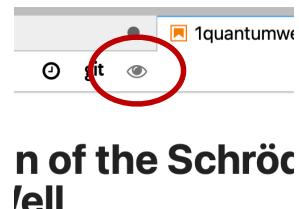
<https://osscar-quantum-mechanics.materialscloud.io>

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Try and modify them interactively on noto.epfl.ch

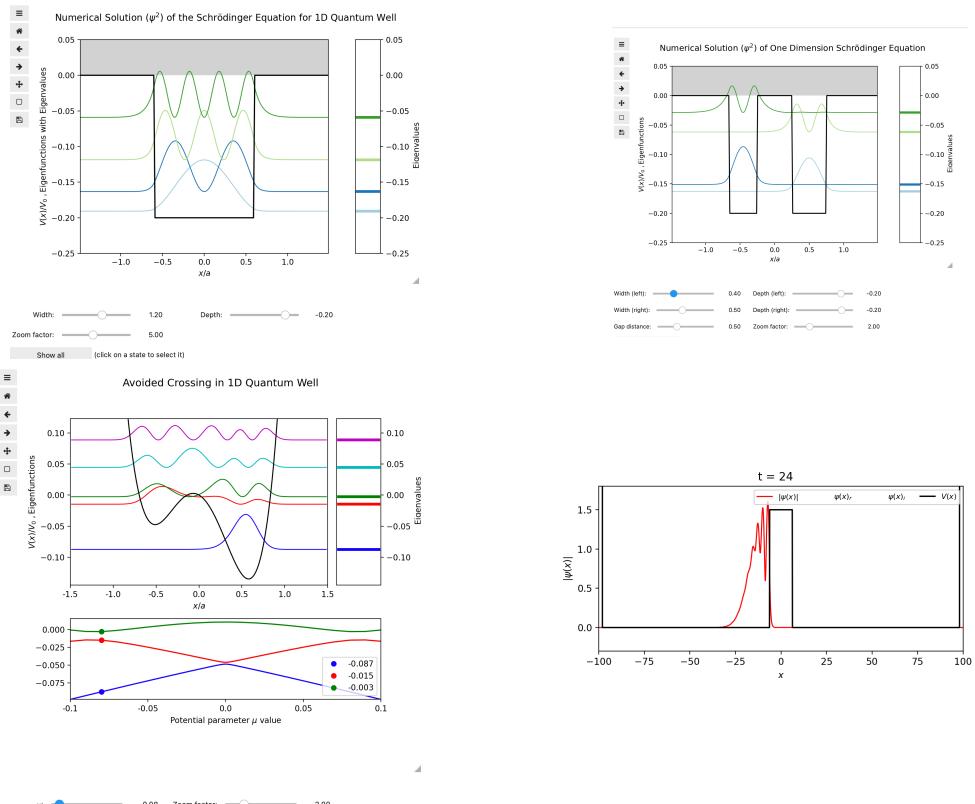
[https://noto.epfl.ch/hub/user-redirect/git-pull?
repo=https%3A%2F%2Fgithub.com%2Foscar-org%2Fquantum-mechanics&urlpath=lab%2Ftree%2Fquantum-mechanics%2Fnotebook%2Findex.ipynb&branch=master](https://noto.epfl.ch/hub/user-redirect/git-pull?repo=https%3A%2F%2Fgithub.com%2Foscar-org%2Fquantum-mechanics&urlpath=lab%2Ftree%2Fquantum-mechanics%2Fnotebook%2Findex.ipynb&branch=master)

- noto.epfl.ch: JupyterLab instance provided by EPFL
- Login with your EPFL credentials
- Press eye icon on the bar to hide code and just see outputs



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Some of the available apps on OSSCAR



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Quantum atoms

Coulomb interaction between point-like nucleus and electron:

$$V(r) = -\frac{e^2}{4\pi\epsilon_0 r} \quad \hat{H} = -\frac{\hbar^2}{2m_e} \nabla^2 - \frac{e^2}{4\pi\epsilon_0 r}$$

We can write the Laplacian operator in spherical coordinates

$$-\frac{\hbar^2}{2m} \left[\frac{1}{r^2} \frac{\partial}{\partial r} r^2 \frac{\partial}{\partial r} + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \sin \theta \frac{\partial}{\partial \theta} + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2} \right]$$

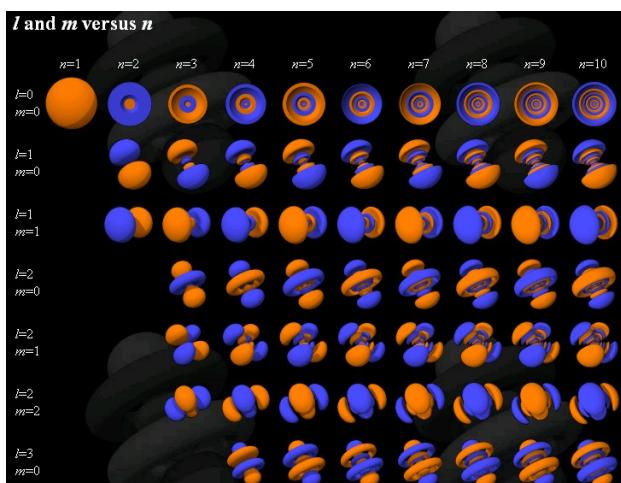
We can separate variables and solve independently the radial part, while having always **the same angular part for any radial V**:

$$\Psi_{nlm}(\vec{r}) = R_{nl}(r)Y_{lm}(\theta, \phi)$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Solutions in a Coulomb Potential: the Periodic Table

<http://www.orbitals.com/orb/orbtable.htm>



$$\Psi_{nlm}(\vec{r}) = R_{nl}(r)Y_{lm}(\theta, \phi)$$

n: principal quantum number: 1, 2, 3, ...

l: azimuthal quantum number: 0, 1, ..., *n*-1

m: magnetic quantum number:

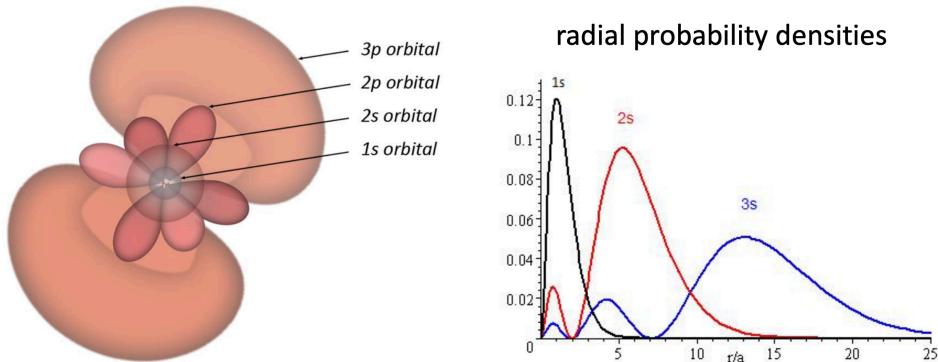
$$-l, -(l-1), \dots, 0, \dots, (l-1), l$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Hydrogen atom orbitals

angular part of the orbital wavefunctions

$$\psi_l^m(\theta, \phi) = \left[\frac{(2l+1)}{4\pi} \frac{(l-|m|)!}{(l+|m|)!} \right]^{1/2} P_l^{|m|}(\cos \theta) e^{im\phi}$$



MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Two-electron atom

$$\left[-\frac{1}{2} \nabla_1^2 - \frac{1}{2} \nabla_2^2 - \frac{Z}{r_1} - \frac{Z}{r_2} + \frac{1}{|\vec{r}_1 - \vec{r}_2|} \right] \psi(\vec{r}_1, \vec{r}_2) = E_{el} \psi(\vec{r}_1, \vec{r}_2)$$

Many-electron atom

$$\left[-\frac{1}{2} \sum_i \nabla_i^2 - \sum_i \frac{Z}{r_i} + \sum_i \sum_{j>i} \frac{1}{|\vec{r}_i - \vec{r}_j|} \right] \psi(\vec{r}_1, \dots, \vec{r}_n) = E_{el} \psi(\vec{r}_1, \dots, \vec{r}_n)$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Complexity of the many-body Ψ

$$\left[-\frac{1}{2} \sum_i \nabla_i^2 - \sum_i \frac{Z}{r_i} + \sum_i \sum_{j>i} \frac{1}{|\vec{r}_i - \vec{r}_j|} \right] \psi(\vec{r}_1, \dots, \vec{r}_n) = E_{el} \psi(\vec{r}_1, \dots, \vec{r}_n)$$

“...Some form of approximation is essential, and this would mean the construction of tables. The tabulation function of **one** variable requires a **page**, of **two** variables a **volume** and of **three** variables a **library**; but the full specification of a single wave function of **neutral iron (Z=26)** is a **function of 78 variables**. It would be rather crude to restrict to 10 the number of values of each variable at which to tabulate this function, but even so, full tabulation would require **10⁷⁸ entries**.”

Douglas R Hartree

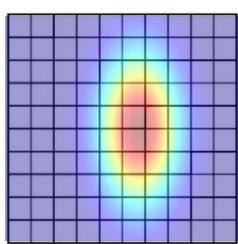
Charles G. Darwin, *Biographical Memoirs of Fellows of the Royal Society*, 4, 102 (1958)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

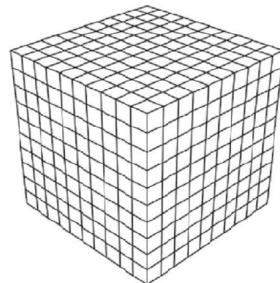
Complexity of the many-body Ψ

$$\left[-\frac{1}{2} \sum_i \nabla_i^2 - \sum_i \frac{Z}{r_i} + \sum_i \sum_{j>i} \frac{1}{|\vec{r}_i - \vec{r}_j|} \right] \psi(\vec{r}_1, \dots, \vec{r}_n) = E_{el} \psi(\vec{r}_1, \dots, \vec{r}_n)$$

Example: “loose” grid with only 10 points per direction



2 variables:
10² numbers:
~2kB



3 variables:
10³ numbers:
~16kB

Not a problem only
in the ‘60s!

**10⁷⁸ entries: would
need to store 1
entry on each atom
in the universe!**

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Energy of a collection of atoms

$$\hat{H} = \hat{T}_e + \hat{T}_N + \hat{V}_{e-e} + \hat{V}_{N-N} + \hat{V}_{e-N}$$

- T_e : quantum kinetic energy of the electrons
- V_{e-e} : electron-electron interactions
- V_{N-N} : electrostatic nucleus-nucleus repulsion
- V_{e-N} : electrostatic electron-nucleus attraction
(electrons in the field of all the nuclei)

$$\hat{T}_e = -\frac{1}{2} \sum_i \nabla_i^2 \quad \hat{V}_{e-N} = \sum_i \left[\sum_I V(\vec{R}_I - \vec{r}_i) \right] \quad \hat{V}_{e-e} = \sum_i \sum_{j>i} \frac{1}{|\vec{r}_i - \vec{r}_j|}$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Electrons and Nuclei

- We treat only the electrons as quantum particles, in the field of the fixed (or slowly varying) nuclei
- This is generically called the **adiabatic** or **Born-Oppenheimer** approximation
- Adiabatic means that there is no coupling between different electronic surfaces; B-O no influence of the ionic motion on one electronic surface.

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Matrix Formulation (I)

$$\hat{H}|\psi\rangle = E|\psi\rangle$$

$$|\psi\rangle = \sum_{n=1,k} c_n |\phi_n\rangle \quad \{|\phi_n\rangle\} \text{ orthogonal}$$

$$\langle \phi_m | \hat{H} | \psi \rangle = E \langle \phi_m | \psi \rangle$$

$$\sum_{n=1,k} c_n \langle \phi_m | \hat{H} | \phi_n \rangle = E c_m$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Matrix Formulation (II)

$$\sum_{n=1,k} H_{mn} c_n = E c_m$$

$$\begin{pmatrix} H_{11} & \dots & H_{1k} \\ \vdots & & \vdots \\ \vdots & & \vdots \\ H_{k1} & \dots & H_{kk} \end{pmatrix} \begin{pmatrix} c_1 \\ \vdots \\ \vdots \\ c_k \end{pmatrix} = E \begin{pmatrix} c_1 \\ \vdots \\ \vdots \\ c_k \end{pmatrix}$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Matrix Formulation (III)

$$\det \begin{pmatrix} H_{11} - E & \dots & & H_{1k} \\ \cdot & H_{22} - E & & \cdot \\ \cdot & & \ddots & \cdot \\ \cdot & & & H_{kk} - E \\ H_{k1} & \dots & & \end{pmatrix} = 0$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Variational Principle

$$E[\Psi] = \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Variational Principle

$$E[\Psi] = \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

$$E[\Psi] \geq E_0$$

If $E[\Psi] = E_0$, then Ψ is the ground state wavefunction, and viceversa

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Variational principle (proof)

$$\begin{aligned} \phi &= \sum_n c_n \psi_n & \sum_n |c_n|^2 &= 1 & \langle \psi_n | \psi_m \rangle &= \delta_{nm} \\ E[\phi] &= \left\langle \phi \left| \hat{H} \right| \phi \right\rangle = \left\langle \sum_n c_n \psi_n \left| \hat{H} \right| \sum_m c_m \psi_m \right\rangle \\ &= \sum_{n,m} \langle c_n \psi_n | E_m | c_m \psi_m \rangle = \sum_{n,m} c_n^* c_m E_m \langle \psi_n | \psi_m \rangle \\ &= \sum_n |c_n|^2 E_n \geq E_0 \sum_n |c_n|^2 = E_0 \end{aligned}$$

Equality holds **only** if we guessed the **exact** ground state wave function

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Energy of an Hydrogen Atom

$$E_\alpha = \frac{\langle \Psi_\alpha | \hat{H} | \Psi_\alpha \rangle}{\langle \Psi_\alpha | \Psi_\alpha \rangle}$$

$$\Psi_\alpha = C \exp(-\alpha r)$$

$$\langle \Psi_\alpha | \Psi_\alpha \rangle = \pi \frac{C^2}{\alpha^3}, \quad \langle \Psi_\alpha | -\frac{1}{2} \nabla^2 | \Psi_\alpha \rangle = \pi \frac{C^2}{2\alpha} \quad \langle \Psi_\alpha | -\frac{1}{r} | \Psi_\alpha \rangle = -\pi \frac{C^2}{\alpha^2}$$

$$\alpha_{\min} = \frac{1}{a_{\text{Bohr}}} \approx \frac{1}{0.529 \text{ \AA}}, \quad E_{\alpha_{\min}} = -1 \text{ Rydberg} \approx -13.6 \text{ eV}$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Mean-field approach

- Independent particle model (Hartree): each electron moves in an **effective potential**, representing the attraction of the nuclei and the **average effect** of the repulsive interactions of the other electrons
- This average repulsion is the electrostatic repulsion of the average charge density of all other electrons

Hartree Equations

The Hartree equations can be obtained **directly from the variational principle**, once the search is restricted to the many-body wavefunctions that are written as the product of single orbitals (i.e. we are working with independent electrons)

$$\psi(\vec{r}_1, \dots, \vec{r}_n) = \phi_1(\vec{r}_1)\phi_2(\vec{r}_2)\cdots\phi_n(\vec{r}_n)$$

$$\left[-\frac{1}{2}\nabla_i^2 + \sum_I V(\vec{R}_I - \vec{r}_i) + \sum_{j \neq i} \int |\phi_j(\vec{r}_j)|^2 \frac{1}{|\vec{r}_j - \vec{r}_i|} d\vec{r}_j \right] \phi_i(\vec{r}_i) = \varepsilon \phi_i(\vec{r}_i)$$

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

The self-consistent field

- The single-particle Hartree operator is self-consistent! It depends on the orbitals that are the solution of all other Hartree equations
- We have n simultaneous integro-differential equations for the n orbitals
- **Solution is achieved iteratively**

$$\left[-\frac{1}{2}\nabla_i^2 + \sum_I V(\vec{R}_I - \vec{r}_i) + \sum_{j \neq i} \int |\varphi_j(\vec{r}_j)|^2 \frac{1}{|\vec{r}_j - \vec{r}_i|} d\vec{r}_j \right] \varphi_i(\vec{r}_i) = \varepsilon \varphi_i(\vec{r}_i)$$

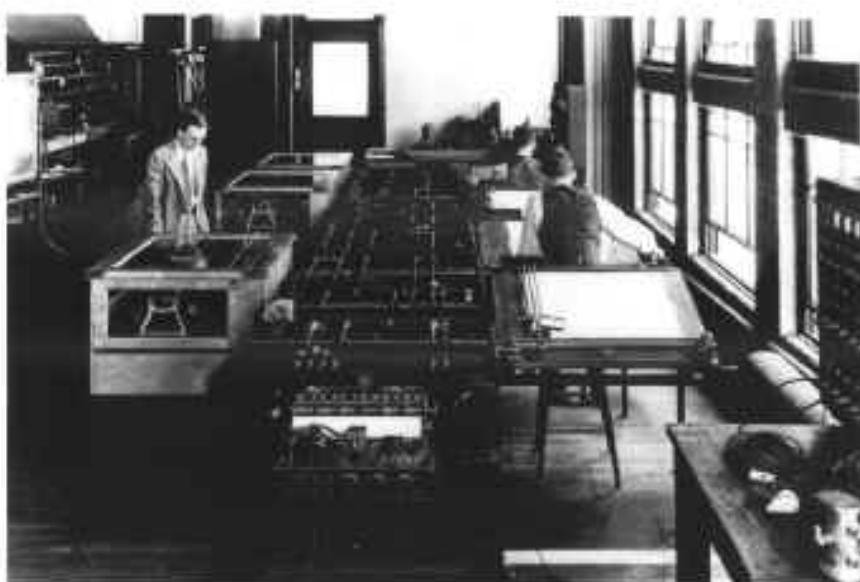
MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Iterations to self-consistency

- Initial guess at the orbitals
- Construction of all the operators
- Solution of the single-particle pseudo-Schrödinger equations
- With this new set of orbitals, construct the Hartree operators again
- Iterate the procedure until it (hopefully) converges

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Bush Differential Analyzer



Bush, J. Franklin Inst., 212, 447 (1931)
Hartree, Nature 146, 319 (1940)

MSE 468 Atomistic and Quantum Simulations of Materials, Giovanni Pizzi, Spring 2025, EPFL

Bibliography

- **Feliciano Giustino, *Materials Modelling Using Density-Functional Theory*, Oxford University Press (2014).**
- Richard M. Martin, *Electronic Structure: Basic Theory and Practical Methods*, Cambridge University Press (2004).
- Mike Finnis, *Interatomic Forces in Condensed Matter*, Oxford University Press (2003).
- Efthimios Kaxiras, *Atomic and Electronic Structure of Solids*, Cambridge University Press (2003).
- Jorge Kohanoff, *Electronic Structure Calculations for Solids and Molecules*, Cambridge University Press (2006)